



3 December 2018

ADX Energy Ltd

## Lapsing of Options and 3Ys

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ADX Energy Ltd (ASX: ADX) gives notice of the expiry of 43,000,000 unlisted options on 1 December 2018. The options were exercisable at a price of \$0.019.

Appendix 3Y - Change in Director's Interest Notices are attached for 33,000,000 of the above mentioned lapsed options which were held by Directors.

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# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 - Amended 01/01/11

Name of entity: ADX Energy Ltd
ABN: 50 009 058 636

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Ian Tchacos
Date of last notice	12 October 2018

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct and Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	<ol style="list-style-type: none"> <li>1. Warroorah Pty Ltd &lt;Tchacos Fund A/C&gt;.</li> <li>2. Eonia Pty Ltd – which Mr Tchacos is a beneficiary.</li> </ol>
Date of change	1 December 2018
No. of securities held prior to change	<ol style="list-style-type: none"> <li>1. 30,554,437 Fully Paid Ordinary Shares (indirect)</li> <li>2. 12,095,370 Fully Paid Ordinary Shares (indirect)</li> <li>3. 317,306 Fully Paid Ordinary Shares (direct)</li> <li>4. 15,000,000 Unlisted Options. Exercise price 1.9 cents, expire 1/12/2018 (indirect)</li> <li>5. 6,354,086 Unlisted Options. Exercise price nil, expire 31/5/2022 (indirect)</li> </ol>
Class	Unlisted Options (indirect)
Number acquired	-
Number disposed	15,000,000 Unlisted Options lapsed.

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+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	-
No. of securities held after change	<ol style="list-style-type: none"> <li>1. 30,554,437 Fully Paid Ordinary Shares (indirect)</li> <li>2. 12,095,370 Fully Paid Ordinary Shares (indirect)</li> <li>3. 317,306 Fully Paid Ordinary Shares (direct)</li> <li>4. 6,354,086 Unlisted Options. Exercise price nil, expire 31/5/2022 (indirect)</li> </ol>
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Lapsed Options

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

### Part 3 – +Closed period

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/a
If prior written clearance was provided, on what date was this provided?	N/a

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# Appendix 3Y

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Introduced 30/09/01 - Amended 01/01/11

Name of entity: ADX Energy Ltd
ABN: 50 009 058 636

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Paul Fink
Date of last notice	14 August 2018

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	
Date of change	1 December 2018
No. of securities held prior to change	33,663,360 Fully Paid Ordinary Shares 10,000,000 Unlisted Options. Exercise price 1.9 cents, expire 1/12/2018 3,415,961 Unlisted Options. Exercise price Nil cents, expire 31/5/2022
Class	Unlisted Options
Number acquired	-
Number disposed	10,000,000 Unlisted Options lapsed.

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+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

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Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	-
No. of securities held after change	33,663,360 Fully Paid Ordinary Shares 3,415,961 Unlisted Options. Exercise price Nil cents, expire 31/5/2022
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Lapsed Options

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

**Part 3 – +Closed period**

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/a
If prior written clearance was provided, on what date was this provided?	N/a

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Introduced 30/09/01 - Amended 01/01/11

Name of entity: ADX Energy Ltd
ABN: 50 009 058 636

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Andrew Childs
Date of last notice	6 September 2018

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Indirect and Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Marina Childs – Spouse Brazell Pty Ltd <A & M Super Fund A/C>
Date of change	1 December 2018
No. of securities held prior to change	<ol style="list-style-type: none"> <li>1. 1,030,599 Fully Paid Ordinary Shares (direct).</li> <li>2. 21,179,341 Fully Paid Ordinary Shares (indirect).</li> <li>3. 4,000,000 Unlisted Options. Exercise price 1.9 cents, expire 1/12/2018</li> </ol>
Class	Unlisted Options (indirect)
Number acquired	-
Number disposed	4,000,000 Unlisted Options lapsed.

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**Appendix 3Y**  
**Change of Director's Interest Notice**

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Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	-
No. of securities held after change	<ol style="list-style-type: none"> <li>1. 1,030,599 Fully Paid Ordinary Shares (direct).</li> <li>2. 21,179,341 Fully Paid Ordinary Shares (indirect).</li> </ol>
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Lapsed Options

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/a
If prior written clearance was provided, on what date was this provided?	N/a

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<sup>+</sup> See chapter 19 for defined terms.

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Introduced 30/09/01 - Amended 01/01/11

Name of entity: ADX Energy Ltd
ABN: 50 009 058 636

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Robert Brown
Date of last notice	14 August 2018

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Auspicious Resources & Technical Service Pty Ltd <The Molinos & Brown Family A/C>
Date of change	1 December 2018
No. of securities held prior to change	5,523,861 fully paid ordinary shares (indirect) 4,000,000 Unlisted Options (indirect). Exercise price 1.9 cents, expire 1/12/2018
Class	Unlisted Options (indirect)
Number acquired	-
Number disposed	4,000,000 Unlisted Options lapsed.
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	-

+ See chapter 19 for defined terms.



**Appendix 3Y**  
**Change of Director's Interest Notice**

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No. of securities held after change	5,523,861 fully paid ordinary shares (indirect)
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Lapsed Options

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

**Part 3 – +Closed period**

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/a
If prior written clearance was provided, on what date was this provided?	N/a

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