



SG HISCOCK & COMPANY

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FACSIMILE TRANSMITTAL SHEET

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TO:	Company Announcements Dept.	FROM:	Peter Mitchell
COMPANY:	Australian Stock Exchange	DATE:	<del>01.09.20</del> 27.Jan.2022
FAX NUMBER:	1300 135 638	TOTAL NO. OF PAGES INCLUDING COVER:	4
RE:	:		
	Change of Interests Substantial Shareholder Notification		

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URGENT     FOR REVIEW     PLEASE COMMENT     PLEASE REPLY     PLEASE RECYCLE

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NOTES/COMMENTS:

Please find attached form 604 – Change of Interests Substantial holder notice for Althea Group Holdings Limited (AGH).

Kind Regards,

Peter Mitchell  
Investment Trading Manager

## Form 604

Corporations Act 2001  
Section 671B

### Notice of change of interests of substantial holder

To Company Name/Scheme Althea Group Holdings Limited

ACN/ARSN 092 813 943

#### 1. Details of substantial holder(1)

Name SG HISCOCK & COMPANY LIMITED

ACN/ARSN (if applicable) 626 966 628

There was a change in the interests of the substantial holder on 24 /01 /2022

The previous notice was given to the company on 01 /09 / 2020

The previous notice was dated 28 /08 / 2020

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
FULLY PAID ORDINARY	11,813,182	5.06%	18,969,215	6.07%

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
attached	SG HISCOCK & CO	see attached			

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
SG HISCOCK	HSBC CUSTODY			18,969,215	18,969,215
	NOMINEES				

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
HSBC CUSTODY NOMINEES	GPO BOX 5302
(AUSTRALIA) LIMITED	SYDNEY NSW 2001

**Signature**

print name Adrian DiMattina

capacity Director, Portfolio Manager

sign here

date 27 / 01 / 2022

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Security	Exch	Volume	TrdDate	TransCode	NtvNetVal
AGH	ASX	48227	24/01/2022	PU	11051.41
AGH	ASX	111302	21/01/2022	PU	25739.78
AGH	ASX	47637	20/01/2022	PU	11083.47
AGH	ASX	333333	2/09/2021	AJ	79950.32
AGH	ASX	333333	1/09/2021	PU	79999.92
AGH	ASX	-333333	1/09/2021	RJ	-80049.5
AGH	ASX	-333000	25/08/2021	SA	-86061.9
AGH	ASX	44377	24/01/2022	PU	10169.16
AGH	ASX	102419	21/01/2022	PU	23685.48
AGH	ASX	43835	20/01/2022	PU	10198.89
AGH	ASX	500000	1/09/2021	PU	120000
AGH	ASX	-500000	25/08/2021	SA	-129222
AGH	ASX	240000	21/06/2021	PU	78003.23
AGH	ASX	102219	24/01/2022	PU	23423.86
AGH	ASX	235911	21/01/2022	PU	54556.93
AGH	ASX	100971	20/01/2022	PU	23492.45
AGH	ASX	6198	18/10/2021	PU	1554.62
AGH	ASX	18031	14/10/2021	PU	4522.62
AGH	ASX	531055	8/10/2021	PU	131923.1
AGH	ASX	134000	7/10/2021	PU	32266.13
AGH	ASX	190229	6/10/2021	PU	44851.34
AGH	ASX	3145834	1/09/2021	PU	755000.2
AGH	ASX	540000	21/12/2020	PU	237600
AGH	ASX	493902	16/12/2020	PU	202499.8
AGH	ASX	72766	29/10/2020	PU	32122.7
AGH	ASX	127234	5/10/2020	PU	56154.94
AGH	ASX	161535	2/09/2020	PU	60775.52
AGH	ASX	237759	1/09/2020	PU	89453.85
AGH	ASX	69657	31/08/2020	PU	26207.58
AGH	ASX	37969	24/01/2022	PU	8700.74
AGH	ASX	87628	21/01/2022	PU	20264.9
AGH	ASX	37505	20/01/2022	PU	8726.11
AGH	ASX	187500	1/09/2021	PU	45000
AGH	ASX	-186587	25/08/2021	SA	-48222.3
AGH	ASX	58122	12/08/2021	PU	16718.56
AGH	ASX	73711	11/08/2021	PU	21076.97
AGH	ASX	54754	1/07/2021	PU	18375.66
	Transactions	7,156,033			
	Prev Notice	11,813,182			
	Total	18,969,215			
	Current Custodian Holding	18,969,215			
	Difference	-			