

**ASX Release**

18 June 2018

**HARANGA RESOURCES  
LIMITED**  
ACN 141 128 841

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Nedlands, WA  
6009, Australia

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**Contact:**

Nerida Schmidt  
Company Secretary

**E-mail:**

admin@haranga.com

**Directors:**

Peter Youd  
Bat-Ochir Sukhbaatar  
Teow Kim Ching  
Nerida Schmidt

**Issued Capital:**

484.53 million shares

**ASX Symbol:**  
HAR

# Late Lodgement of Appendix 3X

Jessica Coupe  
ASX Listings Compliance  
Level 40, Central Park  
152-158 St Georges Terrace  
PERTH WA 6000

Via email: TradingHaltsPerth@asx.com.au

Dear Jessica

## **LATE LODGMENT OF APPENDIX 3X**

Further to your letter to Haranga Resources Limited (the "**Company**" or "HAR") dated 15 June 2018 in which you requested certain information regarding the late lodgement of the Appendix 3X for Mr Chng we have set out our response below using the numbering in your letter.

1. The Appendix 3X for Mr Chng relates to the holdings of Mr Chng on the date of his appointment, being 4 May 2018. While the notification of Mr Chng's appointment was lodged with ASX on 4 May 2018, it was an administrative oversight that the Appendix 3X showing Mr Chng's interests was overlooked and was not lodged until 15 June 2018. While the Company regrets this oversight, the Company was under voluntary suspension throughout the duration of this time.
2. The Company has in place the following processes to ensure that it is able to meet its obligations under Listing Rule 3.19A:
  - a. Each director is informed of the disclosure obligations which arise under ASX Listing Rule 3.19A upon their appointment.
  - b. The Company's share registry is instructed to notify the Company Secretary of any movements in a directors' interest in securities in the Company.
  - c. The Company's Policy of Trading in Company Securities requires directors must not trade in the Company's securities during blackout periods and at any time outside of these periods must obtain prior written clearance from the Chair, or in his absence, the Board.
  - d. The Company's Policy of Trading in Company Securities also requires directors to disclose details of changes in securities of the Company they hold (directly or indirectly) to the Company Secretary promptly. This ensures that the Company is able to notify ASX of the changes in directors' interests within the requisite time frame of no more than 5 business days after the change has occurred.
3. The Company believes its current arrangements as set out in paragraph 2 are adequate and will continue to enforce these.

Yours faithfully

**HARANGA RESOURCES LIMITED**



**Nerida Schmidt**  
Company Secretary



15 June 2018

**Ms Nerida Schmidt**

Company Secretary  
Haranga Resources Limited  
Suite 3, 9 Hampden Road  
NEDLANDS WA 6009

Dear Ms Schmidt

**Haranga Resources Limited (the "Entity"): Appendix 3X – Initial Director's Interest Notice**

We refer to the following;

1. The Appendix 3X lodged by the Entity with ASX on 15 June 2018 for Mr Teow Kim Chng (the "Chng Notice");
2. Listing rule 3.19A which requires an entity to tell ASX the following:

3.19A.1 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times.

- On the date that the entity is admitted to the official list.
- On the date that a director is appointed.

The entity must complete Appendix 3X and give it to ASX no more than 5 business days after the entity's admission or a director's appointment.

3.19A.2 A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) including whether the change occurred during a closed period where prior written clearance was required and, if so, whether prior written clearance was provided. The entity must complete Appendix 3Y and give it to ASX no more than 5 business days after the change occurs

3.19A.3 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.

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3. Listing rule 3.19B which states as follows.

An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.

As the Appendix 3X indicated that the director was appointed on 4 May 2018 it appears that the Appendix 3X should have been lodged with ASX by 11 May 2018. As the Appendix 3X was lodged on 15 June 2018, it appears that the Entity may be in breach of listing rules 3.19A and/or 3.19B. Consequently, the Entity may be in breach of listing rules 3.19A and/or 3.19B. It also appears the director concerned may have breached section 205G of the Corporations Act.

Please note that ASX is required to record details of breaches of the listing rules by listed entities for its reporting requirements.

ASX reminds the Entity of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Entity make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: *Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities*, under listing rule 18.7 we ask that you answer each of the following questions.

1. Please explain why the Appendix was lodged late.
2. What arrangements does the Entity have in place under listing rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Entity intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by e-mail at [TradingHaltsPerth@asx.com.au](mailto:TradingHaltsPerth@asx.com.au). It should not be sent to the ASX Market Announcements Office.

A response is requested as soon as possible and, in any event, not later than half an hour before the start of trading (**i.e. before 7.30 a.m. W.S.T.) on Tuesday 19 June 2018.**

Under listing rule 18.7A, a copy of this letter and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked.

If you have any queries or concerns about any of the above, please contact me immediately.

Kind regards

*[Sent electronically without signature]*

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**Jessica Coupe**  
**Adviser, Listings Compliance (Perth)**